



RCE  
2800

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/295,607
Filing Date	April 22, 1999
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2811
Examiner Name	Steven Loke
Attorney Docket Number	740756-1961

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a.  Previously submitted

- i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_ (Any unentered amendment(s) referred to above will be entered).
- ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii.  Other \_\_\_\_\_

b.  Enclosed

- i.  Preliminary Amendment/Reply
- ii.  Affidavit(s)/Declaration(s)
- iii.  Information Disclosure Statement (IDS)
- iv.  Submission of Formal Drawings
- v.  Petition for Three-month Extension of Time

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)

b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a.  The Director is hereby authorized to charge the following fees \$1720, additional fees which may be required, or credit any overpayments, to Deposit Account No. 19-2380

- i.  RCE fee required under 37 C.F.R. § 1.17(e)
- ii.  Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

**BEST AVAILABLE COPY**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Luan C. Do	Registration No. (Attorney/Agent)	38,434
Signature		Date	December 31, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**  
[37 CFR 1.8(a)]

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at \_\_\_\_\_, on 12-31-03.

Signature:

Name: D. MOVAHEDI

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 09/295,607

Filed: April 22, 1999

For: SEMICONDUCTOR DEVICE HAVING  
ALUMINUM NITRIDE FILM

- ) Confirmation No. 7371
- ) Group Art Unit: 2811
- ) Examiner: Steven Loke
- )
- ) Date: December 31, 2003

CERTIFICATE OF MAILING OR TRANSMISSION  
[37 CFR 1.8(a)]

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Signature: Deborah Movahhedi  
Name: Deborah Movahhedi

## PRELIMINARY AMENDMENT

Mail Stop **AFTER FINAL**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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In response to the Office Action mailed July 15, 2003 and to the telephonic interview with the Examiner on October 15, 2003, please amend the above-identified application and consider Applicants' remarks as follows.

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